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Pace of PATENT #201



#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED

In re Application of:

Kenneth C. Yeager, et al.

AUG 1 5 2001

Serial No.:

09/788,174

Technology Center 2100

Filing Date:

February 15, 2001

Title:

METHOD AND APPARATUS FOR RECORDING TRACE

DATA IN A MICROPROCESSOR BASED INTEGRATED

**CIRCUIT** 

Office of Initial Patent Examination's Customer Service Center

Assistant Commissioner of Patents

Washington, D.C. 20231

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Office of Initial Patent Examination's Customer Service Center, Assistant Commissioner of Patents, Washington, D.C. 20231, on the date shown below.

J. Vasquez

Name

4-03-01

Date

Dear Sir:

## REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

Attached is a copy of the Official Filing Receipt received from the U.S. Patent and Trademark Office in the above-identified patent application for which issuance of a corrected filing receipt is respectfully requested.

Pursuant to 37 C.F.R. § 1.213 (a), at the time the application was filed Applicants requested that this application not be published. The Official Filing Receipt does not reflect this request. For the Non-Publication Request, please delete "No", and insert –YES--. Additionally, please delete the Projected Publication Date of "08/15/2002." A copy of the transmittal form



which was filed with the application is included and reflects Applicants' preference for non-publication. A corrected filing receipt is respectfully requested.

While it is believed that this is not an error by the Applicants, the Commissioner is hereby authorized to charge any amount required by this paper or credit any overpayment to Deposit Account No. 02-0384 of Baker Botts L.L.P.

Respectfully submitted this 3d day of April, 2001.

BAKER BOTTS L.L.P.

Attorneys for Applicants

Charles S. Fish

Reg. No. 35,870

2001 Ross Avenue, Suite 600 Dallas, Texas 75201-2980 (214)953-6507 (jdv) Attorney's Docket: 06256.0174

(15-4-1019.00)

PALENT APPLICATION

1



# TRANSMITTAL FOR U.S. PATENT APPLICATION UNDER 37 CFR §1.53(b)

Box Patent Application

ASSISTANT COMMISSIONER

**OF PATENTS** 

Washington, D.C. 20231

Sir:

Attorney's Docket: 062986.0174

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AUG 1 5 2001

et Connology Center 210

Transmitted herewith for filing is the original patent application of:

Inventor:

Kenneth C. Yeager, et al.

For:

METHOD AND APPARATUS FOR RECORDING TRACE DATA

IN A MICROPROCESSOR BASED INTEGRATED CIRCUIT

X Pursuant to 37 C.F.R. § 1.213(a), Applicants respectfully request that the above-identified patent application not be published under 35 U.S.C. § 122(b). Applicants hereby certify that the invention disclosed in the above-identified application has not been and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.

## Enclosed are:

- (1) 23 pages of Specification, Claims and Abstract;
- (2) 5 pages of Executed Declaration and Power of Attorney;
- (3) An Assignment of the invention to <u>Silicon Graphics</u>, <u>Inc.</u> is attached. A separate cover sheet in compliance with 37 C.F.R. § 3.28 and § 3.31 is included with an Assignment recordal fee of <u>\$40.00</u> pursuant to 37 C.F.R. §1.21(h);
- (4) 9 pages of Formal Drawings;
- (5) 1 page Certificate of Mailing by Express Mail;
- (6) This Transmittal Form with attached patent application filing fee in the amount of \$710.00;
  - (7) 1 page Bibliographic Data Sheet.

|                       | FEE    |    |                 |          |                       |
|-----------------------|--------|----|-----------------|----------|-----------------------|
|                       | Number |    | Number<br>Extra | Rate     | Basic Fee<br>\$710.00 |
| Total Claims          | 20     | 20 | 0               | X \$18 = | -0-                   |
| Independent<br>Claims | 3      | 3  | 0               | X \$80 = | -0-                   |
| TOTAL FILING FEE =    |        |    |                 |          | \$710.00              |

(15-4-1019.00)

PA B APPLICATION

2

Enclosed is a check in the amount of \$710.00 for filing fee. Please charge any additional fees or credit any overpayment to Deposit Account No. 02-0384 of Baker Botts L.L.P.

BAKER BOTTS L.L.P.

Attorneys, for Applicants

Charles S. Fish

Registration No 35,870

Date: <u>February 15, 2001</u>

# **CORRESPONDENCE ADDRESS:**

Baker Botts L.L.P. 2001 Ross Avenue Suite 600 Dallas, TX 75201-2980



COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.uspto.gov

APPLICATION NUMBER FILING DATE GRP ART UNIT FIL FEE REC'D ATTY.DOCKET.NO 09/788,174 02/15/2001 2185 710

**DRAWINGS** TOT CLAIMS IND CLAIMS 20

Charles S. Fish, Esq. Baker Botts L.L.P.

2001 Ross Avenue Dallas, TX 75201-2980

Suite 600

062986.0174

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Technology Center 2100

**CONFIRMATION NO. 4017** 

FILING RECEIPT

OC000000005888422°

Date Mailed: 03/21/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

#### Applicant(s)

Kenneth C. Yeager, Sunnyvale, CA: Steven T. Peltier, Mountain View, CA; David X. Zhang, San Jose, CA;

## **Assignment For Published Patent Application**

Silicon Graphics, Inc.,;

Continuing Data as Claimed by Applicant

Foreign Applications

If Required, Foreign Filing License Granted 03/21/2001

Projected Publication Date: 08/45/2002

Non-Publication Request: No ゲミ

Early Publication Request: No

Title

Method and apparatus for recording trace data in a microprocessor based integrated circuit

**Preliminary Class** 

711

Data entry by : HAILE, MENTUAB

Team : OIPE

Date: 03/21/2001



#### **GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CRF 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

# PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents Office of Initial Patent Examination Customer Service Center Washington, DC 20231



# UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
WWW.USplo.gov



**CONFIRMATION NO. 4017** 

| • |     |     |      |     |  | <b>##</b> |
|---|-----|-----|------|-----|--|-----------|
| • | Dih | Dat | a Sh | pot |  |           |

| <b>SERIAL NUMBI</b> 09/788,174   | ER | FILING DATE<br>02/15/2001<br>RULE | . (                       | CLASS GRO  |  | 2184                  |  | ATTORNEY<br>DOCKET NO.<br>062986.0174 |  |
|--|----|-----------------------------------|---------------------------|--|--|-----------------------|--|---------------------------------------|--|
| APPLICANTS  Kenneth C. Yeager, Sunnyvale, CA; Steven T. Peltier, Mountain View, CA; David X. Zhang, San Jose, CA;  ** CONTINUING DATA ********************************** |    |                                   |                           |  |  |                       |  |                                       |  |
| ** 03/21/2001  Foreign Priority claimed  |    |                                   | STATE OR<br>COUNTRY<br>CA | SHEETS<br>DRAWING<br>9   |  | TOTAL<br>CLAIMS<br>20 |  | INDEPENDENT<br>CLAIMS<br>3            |  |
| ADDRESS Charles S. Fish, Esq. Baker Botts L.L.P. Suite 600 2001 Ross Avenue Dallas ,TX 75201-2980  |    |                                   |                           |  |  |                       |  |                                       |  |
| TITLE  Method and apparatus for recording trace data in a microprocessor based integrated circuit  |    |                                   |                           |  |  |                       |  |                                       |  |
| FILING FEE RECEIVED 710  FEES: Authority has been given in Paper to charge/credit DEPOSIT ACCOUNT No for following:  |    |                                   | NT                        | ☐ All Fees ☐ 1.16 Fees ( Filing ) ☐ 1.17 Fees ( Processing Ext. of time ) ☐ 1.18 Fees ( Issue ) ☐ Other ☐ Credit |  |                       |  |                                       |  |